



## Investment Advisory Service Authorisation

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A/C Number: \_\_\_\_\_

A/C Name: \_\_\_\_\_

I am a subscriber to an advisory service ("Service") that offers suggestions on buying, selling, and entering transactions involving stocks and/or options and/or CFDs and/or futures transactions and related strategies that are developed and presented by the Investment Advisory Service indicated below. The specific Investment Advisory Service to which I have subscribed is (are) as follows:

Investment Advisory Service: \_\_\_\_\_

This service from time to time provides me with suggestions for stocks and/or options and/or CFDs and/or futures transactions or strategies, and I have instructed my Investment Advisory Service to furnish Kinetic Securities with the same information to allow Kinetic Securities to better service my account. Kinetic Securities and its agents and representatives are hereby authorised to follow the recommendations and strategies of my Investment Advisory Service and the services noted above as it relates to entering stocks and/or options and/or CFDs and/or futures transactions and utilising related strategies in my account in conjunction with, and subject to, The *Trading Authorisation Limited to Purchase & Sales of Stocks, Options, CFDs & Futures* submitted by me. I understand that Kinetic Securities will not effect any transactions, regardless of the instructions from my Investment Advisory Service in my account if there are not sufficient funds or available margin buying power, if applicable. I understand that my Investment Advisory Service is independent from and in no way affiliated with Kinetic Securities' agents or representatives.

I understand that there are special risks associated with engaging in options, CFDs and futures transactions and that options, CFDs and futures can be volatile and could possibly subject me to a risk of total loss. I understand and acknowledge that options are suitable only for knowledgeable investors who understand the risks inherent in such securities, have the financial capacity and willingness to incur losses, and have sufficient liquid assets to meet applicable margin requirements.

This statement is not intended to enumerate all of the risks involved in options. The undersigned hereby agrees to indemnify and hold harmless Kinetic Securities and its agents and representatives from and to pay Kinetic Securities and its agents and representatives, promptly on demand for any and all losses, costs or expenses incurred in connection with the use of the services (including any debit balances) as it relates to transactions in the undersigned's account. This Authorisation (including this indemnity provision) is in addition to (and in no way limits or restricts) any rights which Kinetic Securities may have under any other agreement(s) with me.

I understand that I may choose to alter an existing Auto-Trader position or be excluded from a new position, separate from the Auto-Trader orders placed by my Investment Advisory Service. I must do this by contacting Kinetic Securities on (02) 8667 3100 **and** advising them in writing of my chosen course of action. If I do not contact Kinetic Securities in writing and inform them of my decision, and that results in any transactions in my account, I am responsible for those transactions and I indemnify and hold harmless Kinetic Securities. I further understand that if I ever cancel a Auto-Trader order placed by Kinetic Securities, I will become responsible for trading out of any position that I am holding as a result of canceling the Auto-Trader.

I understand that a separate trading account must be opened **solely** for the purpose of Auto-Trader to operate. I understand that once I commence use of the Auto-Trader service, then that account may only be used for the purpose of Auto-Trader. I also understand that In the event that the calculated number of contracts/shares to be traded is not a whole number the number of contracts to be traded will be rounded down to the nearest whole number.



This authorisation including the indemnity provision is a continuing one which shall remain in full force and effect until revoked by the undersigned by written notice or until Kinetic Securities receives actual notice that your subscription has ended with your Investment Advisory Service. In the case of a subscription service ending we will execute closing positions only.

If it is not possible to replicate my Investment Advisory Service's recommendation exactly, I authorise Kinetic Securities to place the trade within the guidelines and strategy of the above mentioned Investment Advisory Service. On close of the trade, or any other amendment by my Investment Advisory Service, if it is not possible to replicate the trade exactly, I authorise Kinetic Securities to achieve the best possible premium given the market conditions at the time. I understand there are no guarantees that my trade will be *exactly the same* as my Investment Advisory Service trade, although every attempt to do so will be made.

Kinetic Securities will not be liable for any lost profits, trading losses, or other damages arising from the delay or loss of online services, or any delays in the receipt of recommendations, by fax or otherwise by your advisory service. Kinetic Securities will only enter the recommendations made by your advisory service, and will attempt to execute trades in a timely and efficient manner, but cannot be held responsible for lost trades of profits due to communication delays by your advisory service. You are subject to risks from errors of electronic systems, communications and market timing.

**All Signatories of the above mentioned account must give authority for Auto-Trader to operate**

Signed:

\_\_\_\_\_  
Name:

\_\_\_\_\_  
Name:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

\_\_\_\_\_  
Date:

\_\_\_\_\_  
Name:

\_\_\_\_\_  
Signature:

\_\_\_\_\_  
Date:

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**Office Use Only**

**Client contact:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Time:** \_\_\_\_\_